

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09 / 1146323

PRIORITY DATE CLAIMED
20 June 1997INTERNATIONAL APPLICATION NO.
PCT/EP98/03764INTERNATIONAL FILING DATE
19 June 1998

TITLE OF INVENTION

DEVICE FOR TAKING AND EXAMINING SAMPLES

APPLICANT(S) FOR DO/EO/US

SANNER, Stefan

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. ☐ A substitute specification.
17. ☐ A change of power of attorney and/or address letter.
18. ☒ Certificate of Mailing by Express Mail
19. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) 09/7446323		INTERNATIONAL APPLICATION NO. PCT/EP98/03764		ATTORNEY'S DOCKET NUMBER 258.00040101	
20. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :				CALCULATIONS PTO USE ONLY	
<input type="checkbox"/> Search Report has been prepared by the EPO or JPO \$840.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00 <input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$970.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
	12 - 20 =	0	x \$18.00	\$0.00	
Total claims	2 - 3 =	0	x \$78.00	\$0.00	
Independent claims			<input type="checkbox"/>	\$0.00	
Multiple Dependent Claims (check if applicable).				\$1,100.00	
TOTAL OF ABOVE CALCULATIONS =				\$0.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <input type="checkbox"/>				\$0.00	
SUBTOTAL =				\$1,100.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$0.00	
TOTAL NATIONAL FEE =				\$1,100.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL FEES ENCLOSED =				\$1,100.00	
				Amount to be refunded	\$
				charged	\$

☐ A check in the amount of _____ to cover the above fees is enclosed.
☐ Please charge my Deposit Account No. _____ in the amount of _____
 A duplicate copy of this sheet is enclosed.
☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. _____ A duplicate copy of this sheet is enclosed.

to cover the above fees.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

RAASCH, Kevin W.
Muetting, Raasch & Gebhardt, P.A.
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Minneapolis, Minnesota 55458-1415
United States of America

SIGNATURE

Kevin W. Raasch
NAME

35,651
REGISTRATION NUMBER

20 December 1999
DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): SANNER, Stefan

Docket No.: 258.00040101

Title: DEVICE FOR TAKING AND EXAMINING SAMPLES

09/446323

520 Rec'd PCT/PTO 20 DEC 1999

Assistant Commissioner for Patents

ATTN: Box PCT

Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

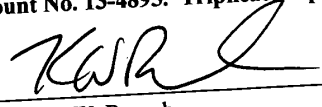
- ☐ Utility Patent Application: Specification (_ pgs); Claims (_ claims on _ pgs); 1 pg Abstract.
- ☒ Information Disclosure Statement (1 pg.); 1449 Form (1 pg.); and copies of 19 cited documents.
- ☒ Preliminary Amendment (2 pgs.)
- ☒ A return postcard.
- ☒ Other: Transmittal Letter to the DO/EO/US (2 pgs.); copy of PCT/EP98/03764 as filed (16 pgs including 5 sheets of drawings); copy of Request Form (4 pgs.); copy of International Search Report (6 pgs.); copies of 19 cited documents; English Translation of PCT/US98/03764 as filed (12 pgs. including 5 sheets of drawings); copy of Demand for Preliminary Examination (4 pgs.); copy of German Preliminary Examination Report w/ Annex (10 pgs.); and copy of English Translation of Preliminary Examination Report w/ Annex (9 pgs.)

NO FILING FEE IS INCLUDED

APPLICATION FILING FEE					
	Number of Claims Filed (1)	Claims Included in Basic Filing Fee (2)	Number of Extra Claims (1-2)	Cost per Extra Claim	Fee Required
Total Claims	12	20	0	x \$18 =	0
Independent Claims	2	3	0	x \$78 =	0
One or More Multiple Dependent Claims Presented? If Yes, Enter \$130 Here →					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO Here →					\$970.00
Surcharge of \$65.00 for furnishing the oath or declaration later than 20 months from the earliest claimed priority date (37 C.F.R. §1.49(e)).					\$130.00
Total Application Filing Fee					\$1,100.00

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH & GEBHARDT, P.A.
P.O. Box 581415, Minneapolis, MN 55458 (612-305-1220)

By: 
Name: Kevin W. Raasch
Reg. No.: 35,651
Direct Dial: 612-305-1218

CERTIFICATE UNDER 37 CFR §1.10:
"Express Mail" mailing label number: EL351920068US

Date of Deposit: December 20, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Attn: Box PCT, Washington, D.C. 20231

By: 
Name: Jill R. Price

(LARGE ENTITY TRANSMITTAL UNDER RULE 1.10)